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Bib Data Sheet

CONFIRMATION NO. 5613

<b>SERIAL NUMBER</b> 10/037,146	<b>FILING OR 371(c) DATE</b> 12/21/2001 <b>RULE</b>	<b>CLASS</b> 385	<b>GROUP ART UNIT</b> 2874	<b>ATTORNEY DOCKET NO.</b> CQC13NP
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## APPLICANTS

Oskar J. Painter, Pasadena, CA;  
 Peter C. Sercel, Pasadena, CA;  
 Kerry J. Vahala, San Gabriel, CA;  
 David W. Vernooy, Sierra Madre, CA;  
 Guido Hunziker, Altadena, CA;

✓ *en*

## \*\* CONTINUING DATA \*\*\*\*\*

This appln claims benefit of 60/257,248 12/21/2000  
 and claims benefit of 60/257,218 12/21/2000

✓ *en*

## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

None *en*

IF REQUIRED, FOREIGN FILING LICENSE GRANTED \*\*

01/31/2002

\*\* SMALL ENTITY \*\*

Foreign Priority claimed 35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance	<b>STATE OR COUNTRY</b> CA	<b>SHEETS DRAWING</b> 22	<b>TOTAL CLAIMS</b> 83	<b>INDEPENDENT CLAIMS</b> 2
Verified and Acknowledged	Examiner's Signature <i>[Signature]</i> Initials <i>[Initials]</i>				

## ADDRESS

36394

## TITLE

RESONANT OPTICAL MODULATORS

<b>FILING FEE RECEIVED</b> 937	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
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		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
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**APPLICANTS**

Oskar J. Painter, Pasadena, CA;  
Peter C. Sercel, Pasadena, CA; *or*  
Kerry J. Vahala, San Gabriel, CA;  
David W. Vernoooy, Sierra Madre, CA;  
Guido Hunziker, Altadena, CA;

**\*\* CONTINUING DATA \*\*\*\*\***

THIS APPLN CLAIMS BENEFIT OF 60/257,248 12/21/2000  
AND CLAIMS BENEFIT OF 60/257,218 12/21/2000  
AND CLAIMS BENEFIT OF 60/111,484 12/07/1998  
AND CLAIMS BENEFIT OF 60/108,358 11/13/1998  
AND CLAIMS BENEFIT OF 60/183,499 02/17/2000 *or*  
AND CLAIMS BENEFIT OF 60/226,147 08/18/2000  
AND CLAIMS BENEFIT OF 60/170,074 12/09/1999  
AND CLAIMS BENEFIT OF 60/257,218 12/21/2000  
AND CLAIMS BENEFIT OF 60/257,248 12/21/2000  
AND CLAIMS BENEFIT OF 60/301,519 06/27/2001  
AND CLAIMS BENEFIT OF 60/333,236 11/23/2001

**\*\* FOREIGN APPLICATIONS \*\*\*\*\***

*None*

**IF REQUIRED, FOREIGN FILING LICENSE GRANTED \*\* SMALL ENTITY \*\***  
\*\* 01/31/2002

Foreign Priority claimed 35 USC 119 (a-d) conditions met Verified and Acknowledged	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance Examiner's Signature <i>W</i> Initials <i>W</i>	<b>STATE OR COUNTRY</b> CA	<b>SHEETS DRAWING</b> 22	<b>TOTAL CLAIMS</b> 83	<b>INDEPENDENT CLAIMS</b> 2
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**ADDRESS**  
26719

**TITLE**  
Resonant optical modulators

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